

Macquarie Cash Management Account Application

Macquarie Bank Limited ABN 46 008 583 542 AFSL 237502



This form was updated in February 2019.

Please return this form by email to transact@macquarie.com

Please use black ink and mark boxes ☐ with an [X].

Identification required: All individuals must attach certified copies of identification, a Macquarie *Individual identification* form or an FSC/FPA form completed by your licensed Financial Services Professional, unless you are an existing Macquarie client. For other entities, such as companies, trusts, associations, and so on, the relevant identification form must also be completed and any additional documentation must be provided as outlined in the *Application guide* available online. These forms can be downloaded from macquarie.com.au/idforms

Before you start, please note:

- mobile number and email address is mandatory for all applicants to enable digital communication
- a welcome email with all your personal Login Details including your Macquarie ID will be sent to each applicant's email address provided in this application
- provision of a TFN or ABN is not compulsory, however, if you do not quote your TFN (including both TFNs for joint accounts), ABN or claim an exemption, tax may be withheld from the interest paid to you at the highest marginal tax rate plus the Medicare Levy.

1

What type of account are you applying for?

☐ Individual, joint or non-corporate trust ► **go to 2** ☐ Company, corporate trust or other ► **go to 3**

2

Details of individuals or trustees

Individual 1

Title: Full name(s):

Any other name known by:

! Occupation (MANDATORY):

! Industry (MANDATORY):

! Date of birth (MANDATORY): / /

Mother's maiden name:

Tax File Number (TFN) or reason for exemption:

Are you an Australian resident for tax purposes? ☐ No ☐ Yes

Are you a resident of another country for tax purposes? ☐ No ☐ Yes, complete the *Macquarie individual identification* form, authorised advisers complete the *individual FSC/FPA* form, or complete the *tax details* form.

! Residential address (MANDATORY – can't be a PO Box):

Suburb:

State: Postcode: Country:

Home phone number: **! Mobile number (MANDATORY):**

! Email (MANDATORY):

Does Individual 1 have a Macquarie ID for online services?

☐ No, please provide me with a Macquarie ID ☐ Yes, Macquarie ID:

Are there any more applicants? ☐ No ► **go to 4** ☐ Yes ► **go to Individual 2**

Details of individuals or trustees (continued)

Individual 2

Title: Full name(s):

Any other name known by:

! Occupation (MANDATORY):

! Industry (MANDATORY):

! Date of birth (MANDATORY): / /

Mother's maiden name:

Tax File Number (TFN) or reason for exemption:

Are you an Australian resident for tax purposes? ☐ No ☐ Yes

Are you a resident of another country for tax purposes? ☐ No ☐ Yes, complete the *Macquarie individual identification* form, authorised advisers complete the *individual FSC/FPA* form, or complete the *tax details* form.

! Residential address (MANDATORY – can't be a PO Box):

Suburb:

State: Postcode: Country:

Home phone number: ! Mobile number (MANDATORY):

! Email (MANDATORY):

Does Individual 2 have a Macquarie ID for online services?

☐ No, please provide me with a Macquarie ID ☐ Yes, Macquarie ID:

Are there any more applicants? ☐ No ► go to 4 ☐ Yes ► go to Individual 3

Individual 3

Title: Full name(s):

Any other name known by:

! Occupation (MANDATORY):

! Industry (MANDATORY):

! Date of birth (MANDATORY): / /

Mother's maiden name:

Tax File Number (TFN) or reason for exemption:

Are you an Australian resident for tax purposes? ☐ No ☐ Yes

Are you a resident of another country for tax purposes? ☐ No ☐ Yes, complete the *Macquarie individual identification* form, authorised advisers complete the *individual FSC/FPA* form, or complete the *tax details* form.

! Residential address (MANDATORY – can't be a PO Box):

Suburb:

State: Postcode: Country:

Home phone number: ! Mobile number (MANDATORY):

! Email (MANDATORY):

Does Individual 3 have a Macquarie ID for online services?

☐ No, please provide me with a Macquarie ID ☐ Yes, Macquarie ID:

Are there any more applicants? ☐ No ► go to 4 ☐ Yes ► go to Individual 4

Details of individuals or trustees (continued)

Individual 4

Title:

Full name(s):

Any other name known by:

!

Occupation (MANDATORY):

!

Industry (MANDATORY):

!

Date of birth (MANDATORY):

/

/

Mother's maiden name:

Tax File Number (TFN) or reason for exemption:

Are you an Australian resident for tax purposes?

No

Yes

Are you a resident of another country for tax purposes?

No

Yes, complete the *Macquarie individual identification* form, authorised advisers complete the *individual FSC/FPA* form, or complete the *tax details* form.

!

Residential address (MANDATORY – can't be a PO Box):

Suburb:

State:

Postcode:

Country:

Home phone number:

!

Mobile number (MANDATORY):

!

Email (MANDATORY):

Does Individual 4 have a Macquarie ID for online services?

No, please provide me with a Macquarie ID

Yes, Macquarie ID:

Are there any more applicants?

No ▶ go to 4

Yes ▶ enter details on an additional application form

3

Details of company, association or body

A. Full name of company, association or body:

If the company, association or body has not previously provided the applicable identification form and/or identification documents, you will need to provide these. You can download these forms from macquarie.com.au/idforms

B.

!

What is the nature of the business activity (MANDATORY):

Sole purpose corporate trustee

Investment

Charity

Other, please specify:

C. ABN:

!

ACN (MANDATORY for Company):

D. Tax File Number (TFN) or reason for exemption:

E. Does the Company, Association or Body have an existing Cash Management Account or Macquarie Term Deposit Account?

Yes ▶ go to next question

No. Complete the identification form relevant to your company, association or body type. Authorised advisers complete the relevant *FSC/FPA* form or *tax details* form.

F.

!

Registered address for your business (MANDATORY – can't be a PO Box):

Suburb:

State:

Postcode:

Country:

G.

!

Principal place of office for your business (MANDATORY – can't be a PO Box):

Same as registered address?

Yes ▶ go to Company officer 1

No ▶ please provide below

Suburb:

State:

Postcode:

Country:

Details of company, association or body (continued)

Company officer 1 (director, sole director or secretary)

Title: Full given name(s):

Any other name known by:

! Occupation (MANDATORY):

! Industry (MANDATORY):

! Date of birth (MANDATORY): / /

Mother's maiden name:

! Residential address (MANDATORY – can't be a PO Box):

Suburb:

State: Postcode: Country:

Work phone number: Home phone number:

! Mobile number (MANDATORY):

! Email (MANDATORY):

Are you an Australian resident for tax purposes? ☐ No ☐ Yes

Are you a resident of another country for tax purposes? ☐ No ☐ Yes, please complete the *Macquarie individual identification* form, authorised advisers complete the *individual FSC/FPA* form, or complete the *tax details* form.

Does this Officer have a Macquarie ID for online services?
☐ No, please provide me with a Macquarie ID ☐ Yes, my Macquarie ID is:

Would you like to appoint additional officers? ☐ No ► go to 4 ☐ Yes ► go to Company officer 2

Company officer 2 (director, sole director or secretary)

Title: Full given name(s):

Any other name known by:

! Occupation (MANDATORY):

! Industry (MANDATORY):

! Date of birth (MANDATORY): / /

Mother's maiden name:

! Residential address (MANDATORY – can't be a PO Box):

Suburb:

State: Postcode: Country:

Work phone number: Home phone number:

! Mobile number (MANDATORY):

! Email (MANDATORY):

Are you an Australian resident for tax purposes? ☐ No ☐ Yes

Are you a resident of another country for tax purposes? ☐ No ☐ Yes, please complete the *Macquarie individual identification* form, authorised advisers complete the *individual FSC/FPA* form, or complete the *tax details* form.

Does this officer have a Macquarie ID for online services?
☐ No, please provide me with a Macquarie ID ☐ Yes, my Macquarie ID is:

Would you like to appoint additional officers?
☐ No ► go to 4 ☐ Yes ► please complete a *Third Party Authority form* available online.

Details of company, association or body (continued)

! Beneficial owner/controller(s) (MANDATORY)

Please provide the details of the individuals who ultimately own 25% or more of the company's issued share capital (through direct or indirect shareholdings). If there are no individuals who own 25% or more of the company's shareholdings, provide the names of the individuals who directly* or indirectly control the company.

! If there are more beneficial owners/controllers, please provide details on a separate sheet.

Beneficial owner/controller 1

☐ Beneficial owner ☐ Beneficial controller

Same as company officer 1? ☐ Yes ► **go to 4**
 ☐ No ► **please provide below**

Surname

Full given name(s)

Any other name known by

Date of birth: / /

Residential address (cannot be a PO Box)

Street name and number

Suburb:

State: Postcode:

Are you an Australian resident for tax purposes? ☐ No ☐ Yes

Are you a resident of another country for tax purposes?
☐ No ☐ Yes, complete the *Macquarie individual identification* form, authorised advisers complete the *individual FSC/FPA* form, or complete the *tax details* form.

Beneficial owner/controller 2

☐ Beneficial owner ☐ Beneficial controller

Same as company officer 2? ☐ Yes ► **go to 4**
 ☐ No ► **please provide below**

Surname

Full given name(s)

Any other name known by

Date of birth: / /

Residential address (cannot be a PO Box)

Street name and number

Suburb:

State: Postcode:

Are you an Australian resident for tax purposes? ☐ No ☐ Yes

Are you a resident of another country for tax purposes?
☐ No ☐ Yes, complete the *Macquarie individual identification* form, authorised advisers complete the *individual FSC/FPA* form, or complete the *tax details* form.


* Includes exercising control through the capacity to determine decisions about financial or operating policies; or by means of trusts, agreements, arrangements, understanding and practices; voting rights of 25% or more; or power of veto. If no such person can be identified then the most senior managing official(s) of the company (such as the managing directors who are authorised to sign on the company's behalf).

4

Details of the trust


- A. Is the applicant a trust (for example a superannuation fund, family trust, deceased estate or minor) **OR** an entity such as an unincorporated business or association? ☐ No ► **go to 5** ☐ Yes ► **go to next question**
- B. Are you applying on behalf of a minor (less than 18 years old)?
☐ No ► **go to next question**
☐ Yes, name of the minor:
- !** Attach a copy of minor's birth certificate. Please note section 2 must be completed by parent/guardian ► **go to 5**
- C. Full name of the trust/entity/trading name:
- !** If not previously provided you'll need to complete the applicable identification form. You can download this form from **macquarie.com.au/idforms**. We may need additional documents from you – refer to the Application Guide for details.
- D. **! What's the nature of the trust or entity's business activity? (MANDATORY)**
☐ Self-managed Super Fund ☐ Investment ☐ Charity ☐ Other, please specify:

Details of the trust (continued)

- E. ABN or reason for exemption:
-  If the regulated Trust's ABN or Registration Licensing details are not provided, we are unable to finalise your application.
- F. Tax File Number (TFN) or reason for exemption:
- G. Does the Trust have an existing Cash Management Account or Macquarie Bank Term Deposit?
☐ Yes ► **go to next question** ☐ No, complete the relevant identification form, authorised advisers complete the relevant FSC/FPA form or *tax details* form.

Beneficial controller(s)

Please provide the names of the individuals who directly* or indirectly control the trust.

 If there are more beneficial controllers, please provide details on a separate sheet.

Beneficial controller 1

Surname

Full given name(s)

Any other name known by

Date of birth:

Residential address (cannot be a PO Box)

Street name and number

Suburb:

State: Postcode:

- Are you an Australian resident for tax purposes? ☐ No ☐ Yes
- Are you a resident of another country for tax purposes?
☐ No ☐ Yes, complete the *Macquarie individual identification* form, authorised advisers complete the *Individual FSC/FPA* form, or complete the *Tax details* form.

Beneficial controller 2

Surname

Full given name(s)

Any other name known by

Date of birth:

Residential address (cannot be a PO Box)

Street name and number

Suburb:

State: Postcode:

- Are you an Australian resident for tax purposes? ☐ No ☐ Yes
- Are you a resident of another country for tax purposes?
☐ No ☐ Yes, complete the *Macquarie individual identification* form, authorised advisers complete the *Individual FSC/FPA* form, or complete the *Tax details* form.

* Includes exercising control through the capacity to determine decisions about financial or operating policies; or by means of trusts, agreements, arrangements, understanding and practices; voting rights of 25% or more; or power of veto. If no such person can be identified then the most senior managing official(s) of the company (such as the managing directors who are authorised to sign on the company's behalf).

5

Account details

- A. **What is the mailing address for this account?** The mailing address will receive cheque books and printed statements, if requested. If this section is not completed all mail will be sent to the residential address of Individual 1 or the principal place of business. Please indicate below.
☐ Individual 1 residential address ☐ Principal place of business ☐ Other (details below)

Suburb:

State: Postcode: Country:
- B. How would you like to receive your statements? ☐ Online (Default) ☐ Printed (\$2.50 fee per statement)
Statements are issued on a half-yearly basis, if you do not cross a box you will receive online statements only. If you elect to receive printed statements, fees and charges may apply. Please refer to the Product Information Statement for details.
- C. Would you like to nominate another account for funds transfers?

Account details (continued)

☐ No ► **go to next question**

☐ Yes, provide account details BSB: Account number:

Account name:

!

You must answer **ALL** parts of question 5C or we will not be able to set up your account. Please check your linked account details carefully – it is your responsibility to ensure all linked account details are correct. Account names are used as a reference only, an incorrect BSB or account number may result in funds being sent to the wrong destination account.

D. Will you be making regular deposits by Direct Debit from another account?

☐ No ► **go to next question** ☐ Yes, you will need to complete the *Direct Debit Request* form available online

E. Do you want to make a recurring payment (eg to a Financial Services Professional)?

☐ No ► **go to next question** ☐ Yes, please set this up using Macquarie Online or Adviser Initiated Payment once your account is set up. Alternatively, complete the *Recurring Payment Authority* form available online.

F. Would you like to appoint a primary Financial Services Professional/Company to have Enquiry Authority on your account?

☐ No ► **go to next question**

☐ Yes, please provide the Financial Services Professional/Company details:

Company name: Company code (if known):

Representative name: Representative code (if known):

By selecting 'Yes' you acknowledge that you authorise the third party to have access to information about your account. You can revoke this authority at any time by contacting us.

!

If you wish to grant any authorities other than Enquiry Authority to your Financial Services Professional/Company, please complete a *Third Party Authority* form available online.

G. Do you want to authorise your primary Financial Services Professional/Company to establish a new Term Deposit in the same name as your Macquarie CMA in the future?

☐ No ☐ Yes, my primary Financial Services Professional appointed in question 5F

☐ Yes, my primary Financial Services Company appointed in question 5F

By selecting 'Yes' you acknowledge that you authorise the your primary Financial Services Professional/Company to establish a new Macquarie Bank Term Deposit in the same name as your account and funds will be debited from your account. You can revoke this authority at any time by contacting us.

!

If you wish to authorise any additional Financial Services Professional/Company, please complete a *Limited Third Party Authority* form available online.

H. Would you like to authorise any other third party to have enquiry and/or transacting authority on your account?

☐ No ► **go to next question** ☐ Yes, you will need to complete a *Third Party Authority* form available online. The third party may need to comply with the applicable identification requirements.

I.

!

What is the source of funds for this account? (MANDATORY)

☐ Superannuation contributions ☐ Commission ☐ Inheritance ☐ Savings ☐ Investment

☐ Normal course of business ☐ Asset sale ☐ Other, please specify:

J.

!

What is the purpose of this account? (MANDATORY) ☐ Savings ☐ Growth ☐ Income ☐ Retirement

☐ Business account ☐ Other, please specify:

!

PLEASE READ THIS BEFORE ANSWERING THE FOLLOWING QUESTION

Joint accounts: If you do not cross a box we will assume 'Any one of us to sign'.

Company, Incorporated Association or Body: These accounts must be signed by two officers (eg two directors, a director and secretary or two office holders), or as required by the constitution or rules of the company or body, or signed by one director for a sole director company. If you do not cross a box, all future written instructions must be executed in the same way as this application form (unless instructed otherwise in writing).

K. What are the signing instructions for this account?

☐ Any one of us to sign ☐ Any two of us to sign ☐ Other, please specify:

6

Applicant declaration

This application must contain an original signature(s) – digitally applied signatures may not be accepted. Please read the Product Information Statement before signing and returning this application form.

I/We acknowledge that I/we have read the *Macquarie Cash Management Account Product Information Statement* and agree to be bound by the Terms and Conditions set out in the *Further information* guide which forms part of the *Product Information Statement*.

I/We acknowledge and agree that:

- this application form was obtained and signed while in Australia, and
- if I/we do not provide Macquarie Bank Limited (MBL) with information as requested, or there is a delay in providing MBL with this information, MBL may not be able to open my/our account, and
- MBL is not liable for any loss incurred by me/us as a result of any action of MBL which either delays an account being opened or results in an application being declined, when these actions are necessary for MBL to comply with its obligations under AML/CTF Laws and/or its internal policies and procedures, and
- by signing this application, I/we also declare that all information (including tax residency information) that I/we have provided to Macquarie or to my/our financial services professional in relation to this application (whether on this form or by other means) is true and correct, and that I/we confirm that I/we will promptly provide Macquarie with details of any changes to the information provided by me/us from time to time. On request, I/we will also provide Macquarie with any further information it requires to comply with applicable laws and its internal policies, including AML/CTF Laws
- you authorise Macquarie to disclose your personal information to a credit reporting agency so that Macquarie

can verify your identify. You also agree to the Macquarie Client Identity Verification Terms and Conditions available online at macquarie.com/personal or by searching “Macquarie Client Identity Verification Terms and Conditions”

- by signing below I/we am/are bound by the Privacy Statement which describes the handling of my personal information, including direct marketing, and I/we can change my/our marketing preferences by telephoning MBL on **1800 806 310** or visiting macquarie.com.au/optout-bfs, and
- MBL will provide information to my/our Financial Services Professional, should I/we have one, and will attempt to contact them if any follow up is required on my/our account.

Furthermore, where I/we have provided authority in this application for a Financial Services Professional and/or company to open a Macquarie Term Deposit on my/our behalf:

- I/we authorise the individual or company to provide all required Term Deposit application details, including but not limited to the investment amount, term and interest instructions, and
- I/we acknowledge that any new Term Deposits will be established using the same details as my/our new Macquarie Cash Management Account. Details that may be replicated for my/our new Term Deposit include (but are not limited to) my/our residential and mailing address details, contact information authorised signatory details, and Tax File Number(s) or ABN, and
- I/we acknowledge that by providing this authority, the Financial Services Professional/Company I have nominated is empowered to open Term Deposit accounts on my/our behalf (and to add funds to an existing Term Deposit that is rolling over, and will be authorised to operate my/our account as set out under the heading Financial Services Professional Access in the *Further Information* document which forms part of the *Macquarie Bank Term Deposit Product Information Statement*.

Signature of individual 1 or company officer 1

Date:

/ /

 Title:

Name:

Signature of individual 2 or company officer 2

Date:

/ /

 Title:

Name:

Signature of individual 3

Date:

/ /

 Title:

Name:

Signature of individual 4

Date:

/ /

 Title:

Name: