



# Foreign Account Tax Compliance Act (FATCA) details form

## Guide to completing this form:

- this form is required to be used as part of your application for the Macquarie account\* you are applying for
- please ensure that you have completed the application form and have read the relevant offer document before signing and returning this form along with your application form
- complete one form for each entity. Please use black ink and complete all applicable sections of this form in BLOCK LETTERS
- contact your Financial Services Professional or your Macquarie relationship manager if you have any queries.

For more information call **1800 806 310** or if overseas call **+61 7 3233 8136**. You can also visit the website at [macquarie.com.au/personal](http://macquarie.com.au/personal) or email [transact@macquarie.com](mailto:transact@macquarie.com).

Business Banking clients, for more information call **1800 052 293**. You can also visit the website at [macquarie.com.au/business](http://macquarie.com.au/business) or email [banking@macquarie.com](mailto:banking@macquarie.com).

# 1

## Type of entity

Individual, sole trader and joint ► **go to section 2**

Australian companies ► **go to section 3**

Foreign companies ► **go to section 4**

Australian Regulated Trusts or Trustees ► **go to section 5**

Unregulated Trusts or Trustee ► **go to section 6**

Partnerships ► **go to section 7**

# 2

## Details of the individual, sole trader or joint applicants

### 2.1 Individual or joint applicant details

Only complete this section if you are an individual or joint applicant. If you are a sole trader ► **go to section 2.2**

#### Individual 1

First and middle name(s):

Surname:

#### Residential address (PO Box is NOT acceptable)

Street name and number:

Suburb:  State:  Postcode:

Country:

Are you a US citizen or resident of the US for tax purposes?

No  Yes, provide US Taxpayer Identification Number (TIN):

\* References to "Macquarie account" is a reference to any account or financial product offered by the relevant member of the Macquarie Group of companies, including but not limited to Macquarie Bank Limited ABN 46 008 583 542, Macquarie Investment Management Limited ABN 66 002 867 003 and Macquarie Equities Limited ABN 41 002 574 923.

## Details of the individual, sole trader or joint applicants (continued)

### Individual 2

First and middle name(s):

Surname:

#### Residential address (PO Box is NOT acceptable)

Street name and number:

Suburb:  State:  Postcode:

Country:

Are you a US citizen or resident of the US for tax purposes?

No  Yes, provide US Taxpayer Identification Number (TIN):

### Individual 3

First and middle name(s):

Surname:

#### Residential address (PO Box is NOT acceptable)

Street name and number:

Suburb:  State:  Postcode:

Country:

Are you a US citizen or resident of the US for tax purposes?

No  Yes, provide US Taxpayer Identification Number (TIN):

**!** Provide details of any additional US individuals or joint applicants on a separate sheet.

▶ go to section 8

## 2.2 Sole trader details

Only complete this section if you are a **sole trader**.

Full business name:

ABN:

#### Principal place of business (PO Box is NOT acceptable)

Street name and number:

Suburb:  State:  Postcode:

Country:

Are you a US citizen or resident of the US for tax purposes?

No  Yes, provide US Taxpayer Identification Number (TIN):

▶ go to section 8

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## Details of the Australian company

This section is for **Australian companies** only. For companies incorporated outside of Australia ► **go to section 4**

Full name as registered by ASIC:

Select only **ONE** of the following categories and provide the information requested.

**Financial Institution** (a custodial or depository institution, an investment entity or a specified insurance company for FATCA purposes)

Provide the company's Global Intermediary Identification Number (GIIN), if applicable:

If the company does not have a GIIN, please provide its FATCA status:

► **go to section 8**

**Non-Financial Public Company** (a company that is not a Financial Institution as described above, whose name does NOT include the word Pty or proprietary; a generally listed company)

► **go to section 8**

**Non-Financial Proprietary Company** (a company that is not a Financial Institution as described above, whose name ends with Proprietary Ltd or Pty Ltd; also known as private companies)

Are any of the beneficial owners US citizens or residents of the US for tax purposes?

No ► **go to section 8**

Yes, provide the name and US Taxpayer Identification Number (TIN) of each beneficial owner who is a US citizen or resident of the US for tax purposes.

First and middle name(s):

Surname:

US TIN:

First and middle name(s):

Surname:

US TIN:

First and middle name(s):

Surname:

US TIN:

► **go to section 8**

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## Details of the foreign company

This section is for **foreign companies** only. For companies incorporated within Australia ► **go to section 3**

Full name of the foreign company:

Country of formation/incorporation/registration:

Select only **ONE** of the following categories and provide the information requested.

**United States Company** (a company created in the US, established under the laws of the US or a US taxpayer)

Provide the company's US Taxpayer Identification Number (TIN):

Is the company an exempt payee for US tax purposes?  Yes  No

► **go to section 8**

## Details of the foreign company (continued)

- Financial Institution** (a custodial or depository institution, an investment entity or a specified insurance company for FATCA purposes)

Provide the company's Global Intermediary Identification Number (GIIN), if applicable:

If the company does not have a GIIN, please provide its FATCA status:

▶ **go to section 8**

- Non-Financial Public Company** (a company that is not a Financial Institution as described above, whose name does NOT include the word Pty or proprietary; a generally listed company)

▶ **go to section 8**

- Non-Financial Proprietary Company** (a company that is not a Financial Institution as described above, whose name ends with Proprietary Ltd or Pty Ltd; also known as private companies)

Are any of the beneficial owners US citizens or residents of the US for tax purposes?

No ▶ **go to section 8**

Yes, provide the name and US Taxpayer Identification Number (TIN) of each beneficial owner who is a US citizen or resident of the US for tax purposes.

First and middle name(s):

Surname:

US TIN:

First and middle name(s):

Surname:

US TIN:

First and middle name(s):

Surname:

US TIN:

▶ **go to section 8**

## 5

### Details of the Australian Regulated Trusts or Trustees

This section is for **Australian Regulated Trusts and Trustees** only. For other types of Trusts (eg family, unit, charitable, estate) or Trust regulated by a foreign regulatory body ▶ **go to section 6**

Regulated super funds (Self-Managed Superannuation Funds, APRA regulated super funds, government super funds or pooled superannuation trusts) are not required to complete this form.

Full name of the trust:

Provide the trust or trustee's Global Intermediary Identification Number (GIIN), if applicable:

If neither the trust or trustee has a GIIN, please provide the Trust's FATCA status:

▶ **go to section 8**

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## Details of the Unregulated Trusts or Trustees

This section is for **Unregulated Trusts and Trustees** only. For Trusts that are regulated by an Australian regulatory body ► **go to section 5**  
Deceased Estates are not required to complete this form.

Full name of the Trust:

Country where Trust established:

Select only **ONE** of the following categories and provide the information requested.

- United States Trust** (a Trust created in the US, established under the laws of the US or a US taxpayer)

Provide the Trust's US Taxpayer Identification Number (TIN):

Is the Trust an exempt payee for US tax purposes?

Yes

No

► **go to section 8**

- Financial Institution or a Trust with a Trustee that is a Financial Institution** (a trust that is primarily established for custodial or investment purposes or a Trust that has a Trustee that is a Financial Institution in its own right)

Provide the Trust or Trustee's Global Intermediary Identification Number (GIIN), if applicable:

If neither the Trust nor the Trustee has a GIIN, please provide the Trust or Trustee's FATCA status:

► **go to section 8**

- Other** (Trusts that are not US Trusts, Financial Institutions or do not have Financial Institution Trustees)

Are any of the Trust beneficiaries, trustees or settlors US citizens or residents of the US for tax purposes?

Yes

No

If the Trustee is a company, are any of this company's beneficial owners (individuals who have one or more shareholdings of 25% or more of the company's issued capital) US citizens or residents of the US for tax purposes?

No ► **go to section 8**

Yes, provide the name, address and US Taxpayer Identification Number (TIN) of each beneficiary, trustee, settlor or beneficial owner who is a US citizen or resident of the US for tax purposes. Addresses are only required if they have not already been provided in this form. If there are more than three US persons, provide the details of the additional US persons on a separate sheet.

First and middle name(s):

Surname:

US TIN:

First and middle name(s):

Surname:

US TIN:

First and middle name(s):

Surname:

US TIN:

► **go to section 8**

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## Details of the partnership

This section is for **partnerships and partners** only.

Full name of the partnership:

Select only **ONE** of the following categories and provide the information requested.

- United States Partnership** (a partnership created in the US, established under the laws of the US or a US taxpayer)

Provide the partnership's US Taxpayer Identification Number (TIN):

Is the partnership an exempt payee for US tax purposes?

Yes

No

▶ **go to section 8**

- Financial Institution** (a custodial or depository institution, an investment entity or a specified insurance company for FATCA purposes)

Provide the partnership's Global Intermediary Identification Number (GIIN), if applicable:

If the partnership does not have a GIIN, please provide its FATCA status:

▶ **go to section 8**

- Other** (Partnerships that are not US Partnerships)

Are any of the partners US citizens or residents of the US for tax purposes?

No ▶ **go to section 8**

Yes, provide the name, address and US Taxpayer Identification Number (TIN) of each partner who is a US citizen or resident of the US for tax purposes. Addresses are only required if they have not already been provided in this form. If there are more than three US partners, provide the details of the additional US partners on a separate sheet.

First and middle name(s):

Surname:

US TIN:

First and middle name(s):

Surname:

US TIN:

First and middle name(s):

Surname:

US TIN:

▶ **go to section 8**



## Declaration



**IMPORTANT NOTE: Either the Individual, an authorised representative of the Entity or their Adviser must sign the Declaration.**

### Client declaration

By completing and signing this form that forms part of my application for the Macquarie account\* I/we declare that:

- the information provided by me/us in this form, which forms part of my/our application for the Macquarie account to enable the relevant member of the Macquarie Group of companies (Macquarie) to comply with the US Foreign Account Tax Compliance Act, its supporting regulations and any related laws designed to implement those laws in Australia (FATCA) is correct and where relevant reflects my/our tax status for the purposes of FATCA
- I/we will promptly notify Macquarie and provide Macquarie with any changes to the information provided by me/us in connection with FATCA and on request with any further information which is necessary or desirable for Macquarie to comply with any obligations it may have in connection with FATCA.

**Client signature 1:**

Date:

First and middle name(s):

Surname:

If a company officer, your corporate title:

**Client signature 2:**

Date:

First and middle name(s):

Surname:

If a company officer, your corporate title:

**Client signature 3:**

Date:

First and middle name(s):

Surname:

If a company officer, your corporate title:

**OR**

### Adviser declaration

By completing and signing this declaration I certify that an authorised representative of the entity or the individual(s) have verbally or in writing confirmed to me the truth of the information provided to me and I have no reason to doubt its reasonableness.

**Adviser signature:**

Date:

Adviser name:

Adviser code:

Dealer name:

Dealer code:

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**Macquarie use only:**

Verifying officer's name:  Date:  Verifying officer's signature: